

CONFERENCE DESIGNED BY

Ascendant
Conferences + Education

Save the Date

March 10-12, 2010
Santa Monica, California

The Ascendant Investment Adviser Compliance Conference

A Bold New World of Compliance: 2010

Staying on Top of Changing Regulations & Examinations
Understanding Your Fiduciary Duty

El Matador Beach

A Conference Designed for

Compliance and Legal Professionals | Senior Management | Internal Auditors
Operations and Trading Personnel | Marketing and Research Personnel | Portfolio Managers



Conference at the
Loews Santa Monica
Beach Hotel

Early Bird Savings



For anyone registering before December 31, 2009

To Register

Call **1-860-435-2255** or email:

Roger Crain | RCrain@AscendantCompliance.com

Mike Mirantz | MMirantz@AscendantCompliance.com

Or register online at AscendantCompliance.com

Santa Monica Pier

